

# ROYCE

**POST-AWARD FAQ**

**INDUSTRIAL  
COLLABORATION  
PROGRAMME**

**ROUND 3 - 2023**

# FREQUENTLY ASKED QUESTIONS

## REPORTING

### What are the reporting requirements at the end of the project?

The following deliverables should be submitted 1 month after the project ends ([see claims and report guidance for full details](#)):

1. Final report in the form of a case study
2. Completed [cost log](#) (details of total costs incurred and claimed for the project)
3. Final invoice to be submitted to Royce by the lead partner
4. For industry partner only: An independent accountant's report is required for claims over £50,000. Any claims under £50,000 require a signed Director's statement. Deadline for submission is 2 months after the project end..

Cost logs, invoices and industry independent accountant's reports/ Director's statements should be uploaded to the final report and case study form [here](#).

### Is there a template for the Independent Accountant's Report/Director's statement and can the cost be claimed from the grant?

There is not a specific template for this. An independent accountant will be able to advise on the best way to present this. The report should verify the incurred costs that are being claimed for the project. The cost of an independent account's report can be claimed from the grant.

## FUNDING

Please refer to the Industrial Collaboration Programme Competition Guidance [here](#) for full details of eligible and ineligible costs.

### Can costs be claimed for expenditure which does not fall within the duration of the project (e.g. for future travel, conference attendance)?

Only costs incurred between the project start and end dates can be claimed, even if the expenditure is in relation to the awarded project.

### Is it possible to vire budgets between categories?

Each project partner can vire up to 5% between their staff, travel, consumables and non-Royce facilities categories without approval from Royce. Changes to Royce facilities budgets and transfers of budgets between partners is not possible due to the different funding levels. These would be classed as a 'significant change' of budget. **It is not possible to vire from indirect costs/overheads.**

### Can Capital Expenditure be claimed?

Capital expenditure to enhance a Royce facility can be claimed if it is over £10k and up to 20% of the total project costs. To be eligible this costing must have been included in the ICP costing sheet on application.

### Is there a maximum amount that can be claimed for consumables, travel, training and events?

The maximum **individual** consumable cost is £10k All items procured under this category must be used solely for research and not for commercial purposes. Up to £5k can be claimed for travel and subsidence/training and development and up to £2k for events and outreach. All expenditure must be for the ICP project and incurred within the project's duration.

### Can a subcontractor be used for the project?

A subcontractor can be used on the ICP Programme with prior agreement from Royce. This should have been approved before application and details included on the costing sheet.

### Can significant changes of budgets be requested?

Expenditure which is not included in the application costing sheet is not eligible. Any subcontracts should have received approval from Royce prior to submission. Changes excluding Royce facilities, indirect costs, overheads and subcontracts may be considered in exceptional circumstances and should be submitted to Royce via the Change Request form [here](#).

### Is it necessary to submit timesheets for staff costs?

Timesheets do not need to be submitted to Royce but it is advisable to keep these locally in case of future audits.

### How are partner organisations paid funding?

Project leads are responsible for flowing down funding to all partners with the exception of Manchester partners as these funds will be kept at Manchester. Where projects are led by an industry partner and involve a second industry partner, funds to the second industry partner will be awarded directly by Royce. Funds to HEI partners will flow from the lead industry partner.

### How frequently can funding be claimed/invoiced?

Bidders have two options available to them in regard to invoicing, they may either; 1) invoice Royce at the end of each calendar month for that month's project costs, or 2) invoice in full at the end of the project for all costs incurred. A [cost log](#) must be submitted with every invoice and sent to [grants@royce.ac.uk](mailto:grants@royce.ac.uk) with the exception of the final claim which should be uploaded to the final report.

### Can claims include purchases from non-UK suppliers?

Non-UK suppliers can be used if there are no UK alternatives. The procurement of equipment, consumables and services, including maintenance, must comply with all relevant national and EU legislation and consideration must be given to the energy and waste implications of all procurements.

# FREQUENTLY ASKED QUESTIONS

## OTHER

### How will Royce process and use data submitted as part of the ICP programme?

The information provided will be processed for the application, review and award of the Industrial Collaboration Programme (ICP) Round 3 funding. If successful, this data will also be used for communication and reporting. Any personal data will be managed and retained in accordance with The University of Manchester's [Collaborator/Partner Privacy Notice](#), [Records Retention Schedule](#), and [UKRI Standard Terms and Conditions of Funding](#).

### What type of State Aid does this funding fall under?

This competition provides funding under the Research, Development and Innovation Streamlined Route of the [Subsidy Control Act 2022](#). Further information about the Subsidy requirements can be found within the Subsidy Control Act 2022. All industry leads must complete the Subsidy Control Declaration and return a signed copy within two weeks of award to:

[grants@royce.ac.uk](mailto:grants@royce.ac.uk). Industry partners of industry led projects are also required to complete a subsidy control declaration. University and RTO leads are responsible for any subsidies that they flow down to industry partners. The correct Subsidy Control Form must be completed dependent on the type of research being undertaken by the project:

- Subsidy Control for Industrial and Experimental Research can be downloaded [here](#); or
- Subsidy Control for Feasibility Studies can be downloaded [here](#).

### How will any IP generated by these projects be handled?

IP arrangements will need to be confirmed in the collaboration agreement, bearing in mind the type of collaboration for which funding is being sought. Although this will need to be agreed between the project partners, our expectation is that each party will own IP developed within its respective work packages. Deviation from this clause may result in delay or withdrawal of your grant.

### Is there a template for a collaboration agreement?

No, however a collaboration agreement between the project partners could be based on a [Lambert](#) template for university and company collaborations, or a [Brunswick](#) template for university-to-university collaborations. The project partners are responsible for negotiating this after the award, but before the project starts.

### What if the contract is not signed before the work starts but the collaborating partners agree to work together?

A project may start without an agreement but we are unable to release project funds until a collaboration agreement is in place.

### Is there any standard wording to acknowledge the Royce Industrial Collaboration Programme in impact activities (e.g. papers)?

Yes, please include the following:

*We wish to acknowledge the support of the Henry Royce Institute for advanced materials through the Industrial Collaboration Programme, funded from a grant provided by the Engineering and Physical Sciences Research Council (EP/X527257/1).*

### How can Royce facilities access be booked?

Royce facilities can be arranged through the Facility Manager that prepared the costing. Please contact that person in the first instance. The University of Manchester partners will be allocated a chargecode for their proportion of the award including Manchester facilities costs and will be required to follow local facilities booking policies and procedures.

## PROJECT CHANGES

In compliance with [UKRI Standard Terms and Conditions of Funding](#), you must inform and consult us if there are any significant changes to the Project that may affect its progress, delivery, or that may potentially breach any applicable Subsidy Control Act 2022 or State Aid regulation. Any requests should be submitted via the Change Request form [here](#).

### Can no-cost extensions be requested?

Due to the short duration of the projects, it is not possible to grant no-cost extensions. This is a stipulation of the funding from EPSRC due to the need to close off accounts for the end of their internal financial year. However, the contribution from other sources (if applicable) can be spent after this deadline to extend the project.

Requests considered to be exceptional circumstances can be submitted via the Change Request form.

### Is it possible to change the scope of the project?

Changes to the scope of the project must not be made without approval from Royce. Significant changes to the Project may require a revised proposal for approval and Royce reserves the right to make a new Grant in place of the existing Grant, or to revise, retain or terminate the existing Grant. Approval should be sought via the Change Request form.

### What is the best way to contact Royce about any additional post-award queries?

Further queries that are not included in the above FAQs or the Change Request form can be emailed to: [grants@royce.ac.uk](mailto:grants@royce.ac.uk).

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